REQUEST FOR PROPOSALS
DESHUTES COUNTY DEPARTMENT OF SOLID WASTE
ENVIRONMENTAL MONITORING AND CONSULTING SERVICES

A. INTRODUCTION
Deschutes County Department of Solid Waste (DCSW) is soliciting proposals for environmental monitoring and consulting services for the County’s active and closed municipal solid waste landfill facilities. In general, the services include the following primary tasks:

- Environmental monitoring (groundwater and leachate sampling and analysis) and report preparation as required by Oregon Department of Environmental Quality (DEQ) facility permits and facility Environmental Monitoring Plans.
- Data analysis and report preparation for Knott Landfill air emissions programs (Title V Operating Permit and State and Federal greenhouse gas emissions reporting programs)
- Providing technical assistance and performing special projects such as environmental engineering, investigations, and evaluations related to the County’s active and closed solid waste facilities.

Questions regarding this solicitation can be directed to:

Chad Centola, Operations Manager
Deschutes County Department of Solid Waste
61050 SE 27th Street
Bend, Oregon 97701

Phone: (541) 322-7172
Fax: (541) 317-3959
Email: chad.centola@deschutes.org

Five (5) copies of the Proposals must be received by 4:00 p.m. on Tuesday, June 5, 2018 at the Deschutes County Solid Waste Department, 61050 S.E. 27th Street, Bend, Oregon 97702. Proposals should be addressed to Chad Centola, Operations Manager. Facsimile or electronically submitted proposals are not acceptable.

B. COUNTY RESERVATIONS
Deschutes County reserves the right to reject any proposal not in compliance with all prescribed proposal procedures and requirements and to accept any proposal that appears to serve the best interest of the County. Deschutes County reserves the right to waive irregularities or discrepancies in proposals if the County determines that the waiver is in the public’s best interest. Deschutes County reserves the right to reject any and all proposals if it is in the public’s best interest to do so.

Proposers are solely responsible for the cost of preparing their proposal. Deschutes County is not liable to any Proposer for any loss or expense caused by or resulting from the cancellation or
rejection of a solicitation, bid, quotation, proposal or award. This is not a contract offer and with this solicitation, the Proposer assumes any liability for the costs incurred in the preparation and transmittal of proposals in response to the solicitation.

This solicitation is governed by the Deschutes County Contracting Code, which is codified at DCC Chapter 2.37. Except as otherwise provided in Chapter 2.37, the Model Rules of Public Contract Procedure, OAR 137, divisions 46, 47, 48 and 49 have been adopted by reference.

All proposals submitted become public record and will be available for inspection upon request. Proposers should identify specifically any information they deem to be proprietary, and include in the transmittal letter a request that such information not be released. Where authorized by law, and at its sole discretion, Deschutes County will endeavor to resist public disclosure of properly identified portions of the proposals.

Errors and omissions in the Request for Proposals shall be called to the attention of DCSW prior to the proposal due date so that appropriate addenda may be issued, if warranted. Failure to do so on the part of the successful Proposer (hereinafter referred to as the Consultant) does not relieve him of responsibility for a correct and completely finished the project scope. Only a written interpretation or correction by addendum shall be binding.

C. AGREEMENT
The Consultant shall be required to enter into a formal agreement for the subject services and to provide insurance certificates and other information required by the County. The County’s Services Contract will form the basis of the contract between the County and the Consultant. A copy of the County’s Services Contract is included with this Request for Proposals. Unconditional refusal to accept the contract provisions proposed in the County’s Services Contract without offering reasonable alternatives that do not substantially impair the County’s rights under the contract may result in disqualification. Unless indicated otherwise, submission of a proposal indicates that the Proposer is willing to enter into a contract with the County substantially incorporating the terms of the County’s Services Contract.

D. INSURANCE REQUIREMENTS
In conjunction with all services performed under this project, the Consultant shall furnish proof of the types and amounts of insurance indicated in Exhibit 2 of County’s Services Contract. The County reserves the right to require completed, certified copies of all required insurance policies, at any time.

E. CONDITIONS OF WORK
Proposers are required, prior to submission of proposal, to carefully examine the requirements of this Request for Proposals and the conditions for the contemplated work.

Each Proposer must inform himself of the conditions relating to the execution of the work, and make him/herself thoroughly familiar with all contract documents. Failure to do so will not relieve the Proposer of his obligations to enter into a contract and complete the contemplated work in strict accordance with the contract documents.
Each Proposer must inform himself on all laws and statutes, both Federal and State, relative to the regular execution of the work, the employment of labor, worker health and safety, protection of public health, access to the work and similar requirements.

F. DOCUMENTS FOR REVIEW

The following documents are available for Proposer review at https://www.deschutes.org/rfps.

- Knott Landfill Solid Waste Disposal Site Permit No. 6
- Knott Landfill Environmental Monitoring Plan
- Knott Landfill Oregon Title V Operating Permit No. 09-0040-TV-01
- Southwest Landfill Solid Waste Disposal Site Closure Permit No. 259
- Southwest Landfill Environmental Monitoring Plan

G. SCOPE OF SERVICES

For the purposes of this request for proposals, the County anticipates the following scope of work to be performed on a routine basis:

**Knott Landfill Environmental Monitoring**

**Background:** Groundwater monitoring began at Knott Landfill in 1995 following the installation of three groundwater monitoring wells as part of the development of a Phase II Site Characterization and Environmental Monitoring Plan for the landfill. In 1996, two additional wells were constructed as part of a lateral expansion implemented at the facility. One of those wells was subsequently abandoned and replaced as part of an expansion of the groundwater monitoring network implemented to support additional site expansion to maximize site life. The current groundwater monitoring network includes seven monitoring wells and the depth to groundwater is in excess of 670 feet. All of the groundwater monitoring wells are equipped with Well Wizard bladder pumps for sample collection. DCSW personnel assist with groundwater sampling and operate the bladder pump system during sampling events.

Knott Landfill currently has six Subtitle D compliant lined cells and a seventh cell under construction with completion scheduled for June 2018. Each cell is equipped with a sump pump which is used for monitoring/controlling leachate levels in the cells. Leachate is recirculated on site.

The landfill gas compliance monitoring network at Knott Landfill consists of six dual completion probes that are monitored by DCSW staff on a quarterly basis. Additionally, the County operates a landfill gas extraction and flare system at the facility.

**Fall Sampling and Analysis:** The Consultant shall conduct Knott Landfill fall semi-annual field sampling and laboratory analyses for both groundwater and landfill leachate in accordance with the procedures and requirements specified in the facility operating permit and Knott Landfill Environmental Monitoring Plan (EMP). The fall semi-annual monitoring report results, discussion and evaluation shall be included in the annual environmental monitoring report.
Annual Environmental Monitoring Report: The Consultant shall prepare two (2) printed copies and three (3) digital copies of each Annual Environmental Monitoring Report in accordance with the requirements specified in the facility operating permit and Knott Landfill EMP. The report shall include, but not be limited to an executive summary and statement of compliance, discussion of the calendar year’s spring and fall monitoring events and analytical results, data suitability analyses, statistical analyses, recommendations, site location map, facility maps, groundwater elevation contour maps, field data sheets, and laboratory QA/QC evaluation and documentation. DCSW will furnish leachate recirculation volumes and landfill gas monitoring results for inclusion in the report.

Spring Sampling and Analysis: The Consultant shall conduct Knott Landfill spring semi-annual field sampling event and laboratory analyses for groundwater in accordance with the procedures and requirements specified in the facility operating permit and the Knott Landfill EMP. The spring semi-annual monitoring report results and discussion shall be included in the Knott Landfill Spring Semi-Annual Sampling Event Report.

Spring Semi-Annual Sampling Event Report Preparation: The Consultant shall prepare two (2) printed copies and three (3) digital copies of each spring semi-annual environmental monitoring report in accordance with the requirements specified in the facility operating permit and Knott Landfill EMP. The report shall include, but not be limited to an executive summary and statement of compliance, discussion of the sampling event and analytical results, data suitability analysis, recommendations, site location map, facility maps, groundwater elevation contour maps, field data sheets, and laboratory QA/QC documentation. DCSW will furnish landfill gas monitoring results for inclusion in the report.

Database Management: The Consultant shall maintain and update DCSW’s groundwater monitoring database for Knott Landfill with the results of each sampling and analysis event. Upon completion of each annual environmental monitoring report, the consultant shall furnish a copy of the current database to the DCSW. The database is currently maintained using EarthSoft EQuIS data management software.

Southwest Landfill Environmental Monitoring
Background: Several groundwater monitoring efforts have been conducted at Southwest Landfill since 1975. Early groundwater investigations were conducted by the US Forest Service (the previous owners of the site) and additional sampling and analysis work was performed by the DEQ. In 1993, the County assumed groundwater monitoring activities at the facility. Between 1995 and 2000, the County performed several groundwater investigations and risk analysis studies under the Oregon DEQ Voluntary Cleanup Program, evaluating a known release of contaminants to groundwater from the landfill. This effort resulted in a Record of Decision and disposal site closure permit that established threshold levels of specific contaminants and continued monitoring of the site.

There are ten groundwater monitoring wells on the facility property, six of which are included in the facility environmental monitoring program. Additionally, an on-site water supply well is included in the monitoring program. Depth to groundwater at Southwest Landfill ranges from
approximately 30 to 55 feet below ground surface and sampling is performed using disposable bailers.

The landfill gas compliance monitoring network at Southwest Landfill consists of 10 probes that are monitored by DCSW staff on a quarterly basis. Additionally, two on-site structures are included in the landfill gas monitoring program.

**Fall Sampling and Analysis:** The Consultant shall conduct Southwest Landfill fall semi-annual field sampling and laboratory analyses for groundwater in accordance with the procedures and requirements specified in the facility closure permit and Southwest Landfill EMP. The fall semi-annual monitoring report results and discussion shall be included in the annual environmental monitoring report.

**Annual Environmental Monitoring Report:** The Consultant shall prepare two (2) printed copies and three (3) digital copies of each Annual Environmental Monitoring Report in accordance with the requirements specified in the facility closure permit and Southwest Landfill EMP. The report shall include, but not be limited to an executive summary and statement of compliance, discussion of the calendar year’s spring and fall monitoring events and analytical results, data suitability analysis, statistical analyses, recommendations, site location map, facility maps, groundwater elevation contour maps, field data sheets, and laboratory QA/QC evaluation and documentation. DCSW will furnish quarterly site inspection forms and landfill gas monitoring results for inclusion in the report.

**Spring Sampling and Analysis:** The Consultant shall conduct Southwest Landfill spring semi-annual field sampling and laboratory analyses for groundwater in accordance with the procedures and requirements specified in the facility closure permit and the Southwest Landfill EMP. The spring semi-annual monitoring report results and discussion shall be included in the Southwest Landfill Spring Semi-Annual Sampling Event Report.

**Spring Semi-Annual Sampling Event Report:** The Consultant shall prepare two (2) printed copies and three (3) digital copies of each spring semi-annual environmental monitoring report in accordance with the requirements specified in the facility closure permit and Southwest Landfill EMP. The report shall include, but not be limited to an executive summary and statement of compliance, discussion of the sampling event and analytical results, data suitability analysis, recommendations, site location map, facility maps, groundwater elevation contour maps, field data sheets, and laboratory QA/QC documentation. DCSW will furnish landfill gas monitoring results for inclusion in the report.

**Database Management:** The Consultant shall maintain and update the County’s groundwater monitoring database for Southwest Landfill with the results of each sampling and analysis event. Upon completion of each annual environmental monitoring report, the consultant shall furnish a copy of the current database to DCSW. The database is currently maintained using EarthSoft EQuIS data management software.
Knott Landfill Title V Monitoring

Monthly Emission Calculations: The consultant shall prepare and submit to DCSW monthly emission calculations in accordance with the requirements specified in the Knott Landfill Title V Operating Permit. DCSW will furnish monthly flare operation data (average blower flow rate, average methane concentration, methane volume, flare hours of operation), monthly landfill tonnage data for municipal solid waste and petroleum contaminated soil, and monthly compost tonnage date for food waste and yard debris.

Annual Title V Report: The Consultant shall prepare and submit to DCSW an annual Title V compliance report in accordance with the requirements specified in the Knott Landfill Title V Operating Permit. The report shall be prepared using forms required by DEQ. DCSW will furnish facility operating and compliance inspection information for inclusion in the report.

Knott Landfill Greenhouse Gas Reporting

Annual Greenhouse Gas Reporting: The consultant shall complete the Knott Landfill annual greenhouse gas report and submittal using the US Environmental Protection Agency’s e-GRRT online reporting tool. DCSW will furnish facility operating and site development data for inclusion in the submittal.

Other Tasks

The Consultant may be periodically asked to develop task orders for additional projects related to environmental monitoring and engineering needs for DCSW. At this time, there are no specific tasks of this nature identified. The following information is being provided as general information on additional services that may be requested of the Consultant during the term of the Contract.

Document Preparation: The County may request assistance in the preparation of permitting, regulatory, technical and other documents.

Landfill Gas Monitoring and Management Consultation: Landfill gas is managed at Knott Landfill using an extraction well network and flare system as a means of controlling offsite migration. DCSW staff operate and maintain the system and perform compliance monitoring. Assistance with modification or expansion of the gas collection system, additional monitoring requirements, or investigative work may be needed as site conditions or regulatory requirements change.

General Engineering Services: The County has the need for other engineering services on occasion. Such services could include surveying, mapping, volume calculations, geotechnical services, oversight of minor construction or infrastructure repair projects, and other general engineering services both environmental and non-environmental in nature.

Environmental Investigations: The County may have the need for assistance in preparing preliminary assessments, conducting remedial investigations and feasibility studies, and performing the various computer modeling, data gathering, and other technical evaluations and analyses that may be required in such investigations.
H. PROPOSAL SUBMITTAL REQUIREMENTS

The Consultant shall submit five (5) copies of the proposal for consideration by the County. The proposal shall address each of the following listed items and shall be organized in accordance with this section. The proposal shall be limited to 25 pages.

The proposals will be evaluated using criteria presented in Section I. At a minimum, the proposal shall include the following:

Transmittal Letter: The transmittal letter shall briefly summarize the proposal and provide contact information including name, title, mailing address, phone and fax numbers and e-mail address for the lead individual representing the Proposer.

Proposal: Proposals shall include the following elements:

- A detailed description of the Proposer’s project team capabilities, experience, and resources and the location of the office through which the project will be administered. Attention should be given to experience in municipal solid waste landfill environmental monitoring and reporting for both groundwater and air emissions, regulatory compliance, environmental engineering, site investigations, etc.

- A discussion of the Proposer’s project team, including brief resumes detailing the background and experience of key team members. The location of key team member and workgroup offices shall also be included.

- A detailed description of the Proposer’s approach to the Scope of Work outlined in this Request for Proposals including information on the Proposer’s ability to respond in a timely manner to requests for services and QA/QC procedures for report preparation and data analysis.

- A discussion of the approach to implementing groundwater sampling tasks and report preparation demonstrating planning and implementation to ensure a timely, compliant, comprehensive and thorough effort.

- A discussion of the Proposer’s experience in working with Oregon DEQ technical staff on environmental monitoring and permit compliance issues.

- A minimum of three (3) and no more than five (5) references. References should be for projects that are of a nature similar to the scope of this project, performed within the last five years. Provide names, addresses, and phone numbers of the client contact person(s) for each reference. Deschutes County employees or its agents should not be used as references.

- A completed copy of the enclosed Cost Proposal, including a breakdown of the costs for field sampling staff, analytical services, report preparation and review and other
appropriate tasks necessary for the completion of each type of monitoring event and report.

- A copy of the proposers listing of standard billing rates for engineering, technical and clerical staff, billable expenses and other appropriate expenses.

I. CRITERIA FOR SELECTION

Proposals will be reviewed and evaluated by County staff. The County expects to make a selection based on the written proposals; however, interviews may be conducted with the top ranking firms if the County decides it is necessary. Proposers are not allowed to lobby County staff or selection committee members prior to the final selection. The County will base its decision on the following criteria:

- **Experience, capabilities and resources of the proposing firm (25 Points)**
  Proposals will be scored on the capabilities of the proposing firm in performing and providing the requested services, including experience and resources, interaction experience with Oregon DEQ, internal procedures related to work quality control, and location of team resources. Experience should be related to similar projects performed within the last 3 to 5 years.

- **Experience of project team members and subconsultants (25 Points)**
  Proposals will be scored on the experience of the individuals and subconsultants to be assigned to the project and performing the work. Experience should be related to projects of a similar nature completed in the last 3 to 5 years. For this criterion, the County is interested in the experience, role and responsibility of individuals to be assigned to the project rather than the experience of the firm in general.

- **Demonstrated understanding of scope of work and thoroughness of proposal (25 Points)**
  Proposals will be scored based on the presented detail and understanding of the project, the thoroughness of the approach to the necessary tasks and how well the approach will meet the objectives of the project.

- **Cost Proposal (15 Points)**
  Proposers will be scored on the proposed fees relative to the services to be provided.

- **Clarity and organization of the proposal (10 Points)**
  Proposers will be scored on the quality of the proposal including clarity and organization of the proposal and its presentation.

Five (5) copies of the Proposals must be received by 4:00 p.m. on Tuesday, June 5, 2018 at the Deschutes County Solid Waste Department, 61050 S.E. 27th Street, Bend, Oregon 97702. Proposals should be addressed to Chad Centola, Operations Manager. Facsimile or electronically submitted proposals are not acceptable.
**Knott Landfill**

Task 1.1-Fall 2018 Sampling and Analysis $\_\_\_\_\_\_\_/ event 

Task 1.2-2018 Annual Environmental Monitoring Report $\_\_\_\_\_\_/ report 

Task 1.3-Spring 2019 Sampling and Analysis $\_\_\_\_\_\_/ event 

Task 1.4-Spring 2019 Semi-annual Sampling Event Report $\_\_\_\_\_\_/ report 

Task 1.5-Database Management $\_\_\_\_\_\_/ year 

**Southwest Landfill**

Task 2.1-Fall 2018 Sampling and Analysis $\_\_\_\_\_\_/ event 

Task 2.2-2018 Annual Environmental Monitoring Report $\_\_\_\_\_\_/ report 

Task 2.3-Spring 2019 Sampling and Analysis $\_\_\_\_\_\_/ event 

Task 2.4-Spring 2019 Semi-annual Sampling Event Report $\_\_\_\_\_\_/ report 

Task 2.5-Database Management $\_\_\_\_\_\_/ year
The name of the Contractor who is submitting this Proposal is:

____________________________________________________________________
Firm Name

____________________________________________________________________
Address                      City                   State                   Zip

IN WITNESS HERETO, the undersigned has set his/her hand this ______ day of                      
__________________________, 2018.

__________________________________                      __________________________________
Signature                      Title

__________________________________
Printed Name

__________________________________                      __________________________________
Telephone Number                FAX Number

__________________________________
E-mail Address
DESCHUTES COUNTY SERVICES CONTRACT
CONTRACT NO. 2018-___

This Contract is between DESCHUTES COUNTY, a political subdivision of the State of Oregon, acting by and through the Solid Waste Department (County) and _____________ (Contractor). The parties agree as follows:

Effective Date and Termination Date. The effective date of this Contract shall be __________ or the date on which each party has signed this Contract, whichever is later. Unless extended or terminated earlier in accordance with its terms, this Contract shall terminate when County accepts Contractor’s completed performance, or on ______________, __________, whichever date occurs last. Contract termination shall not extinguish or prejudice County’s right to enforce this Contract with respect to any default by Contractor that has not been cured.

Statement of Work. Contractor shall perform the work described in Exhibit 1.

Payment for Work. County agrees to pay Contractor in accordance with Exhibit 1.

Contract Documents. This Contract includes Page 1-9 and Exhibits 1, 2, 3, 4, 5 and 6.

CONTRACTOR DATA AND SIGNATURE

Contractor Address: __________________________________________________________

Federal Tax ID# or Social Security #:____________________________________________

Is Contractor a nonresident alien? □ Yes □ No

Business Designation (check one): □ Sole Proprietorship □ Corporation

□ Corporation-for profit □ Corporation-non-profit □ Partnership

□ Other, describe

A Federal tax ID number or Social Security number is required to be provided by the Contractor and shall be used for the administration of state, federal and local tax laws. Payment information shall be reported to the Internal Revenue Service under the name and Federal tax ID number or, if none, the Social Security number provided above.

I have read this Contract including the attached Exhibits. I understand this Contract and agree to be bound by its terms.

NOTE: Contractor shall also sign Exhibits 3 and 4 and, if applicable, Exhibit 6.

________________________________________________________________________

Signature Title

________________________________________________________________________

Name (please print) Date

DESGHUTES COUNTY SIGNATURE

Contracts with a maximum consideration of not greater than $25,000 are not valid and not binding on the County until signed by the appropriate Deschutes County Department Head. Additionally, Contracts with a maximum consideration greater than $25,000 but less than $150,000 are not valid and not binding on the County until signed by the County Administrator or the Board of County Commissioners.

Dated this ______ of __________________, 2018

Dated this ______ of __________________, 2018

DIRECTOR OF SOLID WASTE COUNTY ADMINISTRATOR

Timm Schimke Tom Anderson

Page 1 of 17 - Personal Services Contract No. 2018-___
STANDARD TERMS AND CONDITIONS

1. **Time is of the Essence.** Contractor agrees that time is of the essence in the performance of this Contract.

2. **Compensation.** Payment for all work performed under this Contract shall be made in the amounts and manner set forth in Exhibit 1.
   a. Payments shall be made to Contractor following County’s review and approval of billings and deliverables submitted by Contractor.
   b. All Contractor billings are subject to the maximum compensation amount of this contract.
   c. Contractor shall not submit billings for, and County shall not pay, any amount in excess of the maximum compensation amount of this Contract, including any reimbursable expenses, (See Exhibit 5).
      1) If the maximum compensation amount is increased by amendment to this Contract, the amendment shall be signed by both parties and fully executed before Contractor performs work subject to the amendment.
      2) No payment shall be made for any services performed before the beginning date or after the expiration date of this contract.
   d. Unless otherwise specifically provided in Exhibit 5, Contractor shall submit monthly invoices for work performed. The invoices shall describe all work performed with particularity and by whom it was performed and shall itemize and explain all expenses for which reimbursement is claimed.
   e. The invoices also shall include the total amount invoiced to date by Contractor prior to the current invoice.
   g. Prior to approval or payment of any billing, County may require and Contractor shall provide any information which County deems necessary to verify work has been properly performed in accordance with the Contract.

3. **Delegation, Subcontracts and Assignment.** Contractor shall not delegate or subcontract any of the work required by this Contract or assign or transfer any of its interest in this Contract, without the prior written consent of County.
   a. Any delegation, subcontract, assignment, or transfer without prior written consent of County shall constitute a material breach of this contract.
   b. Any such assignment or transfer, if approved, is subject to such conditions and provisions as the County may deem necessary.
   c. No approval by the County of any assignment or transfer of interest shall be deemed to create any obligation of the County to increase rates of payment or maximum Contract consideration.
   d. Prior written approval shall not be required for the purchase by the Contractor of articles, supplies and services which are incidental to the provision of services under this Contract that are necessary for the performance of the work.
   e. Any subcontracts that the County may authorize shall contain all requirements of this contract, and unless otherwise specified by the County the Contractor shall be responsible for the performance of the subcontractor.

4. **No Third Party Beneficiaries.**
   a. County and Contractor are the only parties to this Contract and are the only parties entitled to enforce its terms.
   b. Nothing in this Contract gives or provides any benefit or right, whether directly, indirectly, or otherwise, to third persons unless such third persons are individually identified by name in this Contract and expressly described as intended beneficiaries of this Contract.

5. **Successors in Interest.** The provisions of this Contract shall be binding upon and inure to the benefit of the parties and their successors and approved assigns, if any.

6. **Early Termination.** This Contract may be terminated as follows:
   a. **Mutual Consent.** County and Contractor, by mutual written agreement, may terminate this Contract at any time.
   b. **Party's Convenience.** County or Contractor may terminate this Contract for any reason upon 30 calendar days written notice to the other party.
   c. **For Cause.** County may also terminate this Contract effective upon delivery of written notice to the Contractor, or at such later date as may be established by the County, under any of the following conditions:
1) If funding from state or other sources is not obtained and continued at levels sufficient to allow for the purchase of the indicated quantity of services as required in this Contract.

2) If state laws, regulations or guidelines are modified, changed or interpreted in such a way that the services are no longer allowable or appropriate for purchase under this Contract or are no longer eligible for the funding proposed for payments authorized by this Contract.

3) In the event sufficient funds shall not be appropriated for the payment of consideration required to be paid under this Contract, and if County has no funds legally available for consideration from other sources.

4) If any license or certificate required by law or regulation to be held by the Contractor to provide the services required by this Contract is for any reason denied, revoked, suspended, not renewed or changed in such a way that the Contractor no longer meets requirements for such license or certificate.

d. Contractor Default or Breach. The County, by written notice to the Contractor, may immediately terminate the whole or any part of this Contract under any of the following conditions:

1) If the Contractor fails to provide services called for by this Contract within the time specified or any extension thereof.

2) If the Contractor fails to perform any of the other requirements of this Contract or fails to pursue the work so as to endanger performance of this Contract in accordance with its terms, and after receipt of written notice from the County specifying such failure, the Contractor fails to correct such failure within 10 calendar days or such other period as the County may in writing authorize.

3) Contractor institutes or has instituted against it insolvency, receivership or bankruptcy proceedings, makes an assignment for the benefit of creditors, or ceases doing business on a regular basis.

e. County Default or Breach.

1) Contractor may terminate this Contract in the event of a breach of this Contract by the County. Prior to such termination, the Contractor shall give to the County written notice of the breach and intent to terminate.

2) If the County has not entirely cured the breach within 10 calendar days of the date of the notice, then the Contractor may terminate this Contract at any time thereafter by giving written notice of termination.

7. Payment on Early Termination. Upon termination pursuant to paragraph 6, payment shall be made as follows:

a. If terminated under subparagraphs 6 a. through c. of this Contract, the County shall pay Contractor for work performed prior to the termination date if such work was performed in accordance with the Contract. Provided however, County shall not pay Contractor for any obligations or liabilities incurred by Contractor after Contractor receives written notice of termination.

b. If this Contract is terminated under subparagraph 6 d. of this Contract, County obligations shall be limited to payment for services provided in accordance with this Contract prior to the date of termination, less any damages suffered by the County.

c. If terminated under subparagraph 6 e of this Contract by the Contractor due to a breach by the County, then the County shall pay the Contractor for work performed prior to the termination date if such work was performed in accordance with the Contract. Specifically:

1) with respect to services compensable on an hourly basis and authorized expenses actually incurred, County shall pay the amount due plus any interest within the limits set forth under ORS 293.462, less the amount of any claims County has against Contractor; and

2) with respect to deliverable-based Work, the sum designated for completing the deliverable multiplied by the percentage of Work completed and accepted by County, less previous amounts paid and any claim(s) that County has against Contractor.

3) County’s payment to Contractor under this subparagraph 7(c) is subject to the limitations set forth in paragraph 8 of this Contract, below.

8. Remedies. In the event of breach of this Contract the parties shall have the following remedies:

a. Termination under subparagraphs 6 a. through c. of this Contract shall be without prejudice to any obligations or liabilities of either party already reasonably incurred prior to such termination.

1) Contractor may not incur obligations or liabilities after Contractor receives written notice of termination.

2) Additionally, neither party shall be liable for any indirect, incidental, or consequential damages under this Contract or for any damages of any sort arising solely from the termination of this Contract in accordance with its terms.
b. If terminated under subparagraph 6 d. of this Contract by the County due to a breach by the Contractor, County may pursue any remedies available at law or in equity.
   1) Such remedies may include, but are not limited to, termination of this contract, return of all or a portion of this Contract amount, payment of interest earned on this Contract amount, and declaration of ineligibility for the receipt of future contract awards.
   2) Additionally, County may complete the work either by itself, by agreement with another Contractor, or by a combination thereof. If the cost of completing the work exceeds the remaining unpaid balance of the total compensation provided under this Contract, then the Contractor shall be liable to the County for the amount of the reasonable excess.

c. If amounts previously paid to Contractor exceed the amount due to Contractor under this Contract, Contractor shall repay any excess to County upon demand.

d. Neither County nor Contractor shall be held responsible for delay or default caused by fire, civil unrest, labor unrest, riot, acts of God, or war where such cause was beyond reasonable control of County or Contractor, respectively; however, Contractor shall make all reasonable efforts to remove or eliminate such a cause of delay or default and shall, upon the cessation of the cause, diligently pursue performance of its obligations under this Contract. For any delay in performance as a result of the events described in this subparagraph, Contractor shall be entitled to additional reasonable time for performance that shall be set forth in an amendment to this Contract.

e. The passage of this Contract expiration date shall not extinguish or prejudice the County's or Contractor's right to enforce this Contract with respect to any default or defect in performance that has not been cured.

f. County's remedies are cumulative to the extent the remedies are not inconsistent, and County may pursue any remedy or remedies singly, collectively, successively or in any order whatsoever.

9. Contractor’s Tender upon Termination. Upon receiving a notice of termination of this Contract, Contractor shall immediately cease all activities under this Contract unless County expressly directs otherwise in such notice of termination.
   a. Upon termination of this Contract, Contractor shall deliver to County all documents, information, work-in-progress and other property that are or would be deliverables had this Contract been completed.
   b. Upon County's request, Contractor shall surrender to anyone County designates, all documents, research, objects or other tangible things needed to complete the work.

   a. Contractor shall be solely responsible for and shall have control over the means, methods, techniques, sequences and procedures of performing the work, subject to the plans and specifications under this Contract and shall be solely responsible for the errors and omissions of its employees, subcontractors and agents.
   b. For goods and services to be provided under this contract, Contractor agrees to:
      1) perform the work in a good, workmanlike, and timely manner using the schedule, materials, plans and specifications approved by County;
      2) comply with all applicable legal requirements;
      3) comply with all programs, directives, and instructions of County relating to safety, storage of equipment or materials;
      4) take all precautions necessary to protect the safety of all persons at or near County or Contractor's facilities, including employees of Contractor, County and any other contractors or subcontractors and to protect the work and all other property against damage.

11. Drugs and Alcohol. Contractor shall adhere to and enforce a zero tolerance policy for the use of alcohol and the unlawful selling, possession or use of controlled substances while performing work under this Contract.

12. Insurance. Contractor shall provide insurance in accordance with Exhibit 2 attached hereto and incorporated by reference herein.

13. Expense Reimbursement. If the consideration under this Contract provides for the reimbursement of Contractor for expenses, in addition to Exhibit 5, Exhibit 1 shall state that Contractor is or is not entitled to reimbursement for such expenses.
   a. County shall only reimburse Contractor for expenses reasonably and necessarily incurred in the performance of this contract.
   b. Expenses reimbursed shall be at the actual cost incurred; including any taxes paid, and shall not include
any mark-up unless the mark-up on expenses is specifically agreed to in this Contract.

c. The cost of any subcontracted work approved in this Contract shall not be marked up.

d. Contractor shall not bill County for any time expended to complete the documents necessary for reimbursement of expenses or for payment under this contract.

e. The limitations applicable to reimbursable expenses are set forth in Exhibit “5”, attached hereto and by reference incorporated herein.

14. Criminal Background Investigations. Contractor understands that Contractor and Contractor’s employees and agents are subject to periodic criminal background investigations by County and, if such investigations disclose criminal activity not disclosed by Contractor, such non-disclosure shall constitute a material breach of this Contract and County may terminate this Contract effective upon delivery of written notice to the Contractor, or at such later date as may be established by the County.

15. Confidentiality. As applicable, Contractor shall maintain confidentiality of information obtained pursuant to this Contract as follows:

a. Contractor shall not use, release or disclose any information concerning any employee, client, applicant or person doing business with the County for any purpose not directly connected with the administration of County’s or the Contractor's responsibilities under this Contract except upon written consent of the County, and if applicable, the employee, client, applicant or person.

b. The Contractor shall ensure that its agents, employees, officers and subcontractors with access to County and Contractor records understand and comply with this confidentiality provision.

c. Contractor shall treat all information as to personal facts and circumstances obtained on Medicaid eligible individuals as privileged communication, shall hold such information confidential, and shall not disclose such information without the written consent of the individual, his or her attorney, the responsible parent of a minor child, or the child’s guardian, except as required by other terms of this Contract.

d. Nothing prohibits the disclosure of information in summaries, statistical information, or other form that does not identify particular individuals.

e. Contractor shall at all times comply with all of the transaction, security and privacy provisions of the Health Insurance Portability and Accountability Act (“HIPAA”) and all other state and federal laws and regulations related to the privacy and/or security of personally identifiable health information.

f. Contractor shall cooperate with County in the adoption of policies and procedures for maintaining the privacy and security of personally identifiable health records and for conducting transactions pursuant to the requirements of HIPAA and other applicable state and federal laws and regulations.

g. This Contract may be amended in writing in the future to incorporate additional requirements related to compliance with HIPAA or other applicable state or federal laws and/or regulations. If Contractor receives or transmits protected health information, Contractor shall enter into a Business Associate Agreement with County, which, if attached hereto, shall become a part of this Contract. To the extent any provision of the Business Associate Agreement is inconsistent with a provision of this paragraph 15, the Business Associate Agreement shall govern.

16. Reports. Contractor shall provide County with periodic reports at the frequency and with the information prescribed by County. Further, at any time, County has the right to demand adequate assurances that the services provided by Contractor shall be in accordance with the Contract. Such assurances provided by Contractor shall be supported by documentation in Contractor’s possession from third parties.

17. Access to Records. Contractor shall maintain fiscal records and all other records pertinent to this Contract.

a. All fiscal records shall be maintained pursuant to generally accepted accounting standards, and other records shall be maintained to the extent necessary to clearly reflect actions taken.

1) All records shall be retained and kept accessible for at least three years following the final payment made under this Contract or all pending matters are closed, whichever is later.

2) If an audit, litigation or other action involving this Contract is started before the end of the three year period, the records shall be retained until all issues arising out of the action are resolved or until the end of the three year period, whichever is later.

b. County and its authorized representatives shall have the right to directly access all of Contractor’s books, documents, papers and records related to this Contract for the purpose of conducting audits and examinations and making copies, excerpts and transcripts.

1) These records also include licensed software and any records in electronic form, including but not limited to computer hard drives, tape backups and other such storage devices. County shall reimburse Contractor for Contractor’s reasonable cost of preparing copies.
2) At Contractor's expense, the County, the Secretary of State's Office of the State of Oregon, the Federal Government, and their duly authorized representatives, shall have license to enter upon Contractor's premises to access and inspect the books, documents, papers, computer software, electronic files and any other records of the Contractor which are directly pertinent to this Contract.

3) If Contractor's dwelling is Contractor's place of business, Contractor may, at Contractor's expense, make the above records available at a location acceptable to the County.

18. Ownership of Work. All work of Contractor that results from this Contract (the “Work Product”) is the exclusive property of County.
   a. County and Contractor intend that such Work Product be deemed “work made for hire” of which County shall be deemed author.
   b. If, for any reason, the Work Product is not deemed “work made for hire,” Contractor hereby irrevocably assigns to County all of its right, title, and interest in and to any and all of the Work Product, whether arising from copyright, patent, trademark, trade secret, or any other state or federal intellectual property law or doctrine.
   c. Contractor shall execute such further documents and instruments as County may reasonably request in order to fully vest such rights in County.
   d. Contractor forever waives any and all rights relating to Work Product, including without limitation, any and all rights arising under 17 USC § 106A or any other rights of identification of authorship or rights of approval, restriction or limitation on use or subsequent modifications.
   e. County shall have no rights in any pre-existing work product of Contractor provided to County by Contractor in the performance of this Contract except an irrevocable, non-exclusive, perpetual, royalty-free license to copy, use and re-use any such work product.
   f. If this Contract is terminated prior to completion, and County is not in default, County, in addition to any other rights provided by this Contract, may require Contractor to transfer and deliver all partially completed work products, reports or documentation that Contractor has specifically developed or specifically acquired for the performance of this Contract.
   g. In the event that Work Product is deemed Contractor's Intellectual Property and not “work made for hire,” Contractor hereby grants to County an irrevocable, non-exclusive, perpetual, royalty-free license to use, reproduce, prepare derivative works based upon, distribute copies of, perform and display the Contractor Intellectual Property, and to authorize others to do the same on County’s behalf.
   h. In the event that Work Product is Third Party Intellectual Property, Contractor shall secure on the County’s behalf and in the name of the County, an irrevocable, non-exclusive, perpetual, royalty-free license to use, reproduce, prepare derivative works based upon, distribute copies of, perform and display the Third Party Intellectual Property, and to authorize others to do the same on County’s behalf.

19. County Code Provisions. Except as otherwise specifically provided, the provisions of Deschutes County Code, Section 2.37.150 are incorporated herein by reference. Such code section may be found at the following URL address: http://www.deschutes.org/County-Code.aspx?F=chapter+2.37.pdf. To the extent any provision of DCC 2.37.150 is inconsistent with a provision of this Contract, DCC 2.37.150 shall govern.

20. Partnership. County is not, by virtue of this contract, a partner or joint venturer with Contractor in connection with activities carried out under this contract, and shall have no obligation with respect to Contractor’s debts, taxes, or any other liabilities of each and every nature.

21. Indemnity and Hold Harmless.
   a. To the fullest extent authorized by law Contractor shall defend, save, hold harmless and indemnify the County and its current and former officers, departments, employees and agents from and against any and all claims, suits, actions, losses, damages, liabilities costs and expenses of any nature, and by whomever brought, resulting from, arising out of or relating to the activities of Contractor or its current or former officers, employees, contractors, or agents, including without limitation any claim that any work, work product or other tangible or intangible items delivered to County by Contractor may be the subject of protection under any state or federal intellectual property law or doctrine, or that the County’s use thereof infringes any patent, copyright, trade secret, trademark, trade dress, mask work utility design or other proprietary right of any third party.
   b. Contractor shall have control of the defense and settlement of any claim that is subject to subparagraph a of this paragraph; however neither Contractor nor any attorney engaged by Contractor shall defend the claim in the name of Deschutes County or any department or agency thereof, nor purport to act as legal representative of the County or any of its departments or agencies without first receiving from the County’s Legal Counsel, in a form and manner determined appropriate by the County’s Legal Counsel,
authority to act as legal counsel for the County, nor shall Contractor settle any claim on behalf of the Count without the approval of the County’s Legal Counsel.

c. To the extent permitted by Article XI, Section 10, of the Oregon Constitution and the Oregon Tort Claims Act, ORS 30.260 through 30.300, County shall defend, save, hold harmless and indemnify Contractor and its officers, employees and agents from and against all claims, suits, actions, losses, damages, liabilities costs and expenses of any nature resulting from or arising out of, or relating to the activities of County or its officers, employees or agents under this Contract.

22. Waiver.
   a. County’s delay in exercising, or failure to exercise, any right, power, or privilege under this Contract shall not operate as a waiver thereof, nor shall any single or partial exercise of any right, power, or privilege under this Contract preclude any other or further exercise thereof or the exercise of any other such right, power, or privilege.
   b. The remedies provided herein are cumulative and not exclusive of any remedies provided by law.

23. Governing Law. This Contract shall be governed by and construed in accordance with the laws of the State of Oregon without regard to principles of conflicts of law.
   a. Any claim, action, suit or proceeding (collectively, “Claim”) between County and Contractor that arises from or relates to this Contract shall be brought and conducted solely and exclusively within the Circuit Court of Deschutes County for the State of Oregon; provided, however, if a Claim shall be brought in federal forum, then it shall be brought and conducted solely and exclusively within the United States District Court for the District of Oregon.
   b. CONTRACTOR, BY EXECUTION OF THIS CONTRACT, HEREBY CONSENTS TO THE IN PERSONAM JURISDICTION OF SAID COURTS. The parties agree that the UN Convention on International Sales of Goods shall not apply.

24. Severability. If any term or provision of this Contract is declared by a court of competent jurisdiction to be illegal or in conflict with any law, the validity of the remaining terms and provisions shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if this Contract did not contain the particular term or provision held invalid, unless doing so would materially frustrate the parties’ intent in entering into this Contract.

25. Counterparts. This Contract may be executed in several counterparts, all of which when taken together shall constitute one agreement binding on all parties, notwithstanding that all parties are not signatories to the same counterpart. Each copy of this Contract so executed shall constitute on original.

26. Notice. Except as otherwise expressly provided in this Contract, any communications between the parties hereto or notices to be given hereunder shall be given in writing, to Contractor or County at the address or number set forth below or to such other addresses or numbers as either party may hereafter indicate in writing. Delivery may be by personal delivery, facsimile, or mailing the same, postage prepaid.
   a. Any communication or notice by personal delivery shall be deemed delivered when actually given to the designated person or representative.
   b. Any communication or notice sent by facsimile shall be deemed delivered when the transmitting machine generates receipt of the transmission. To be effective against County, such facsimile transmission shall be confirmed by telephone notice to the County Administrator.
   c. Any communication or notice mailed shall be deemed delivered five (5) days after mailing. Any notice under this Contract shall be mailed by first class postage or delivered as follows:

   To Contractor:
   *
   To County:
   Tom Anderson
   County Administrator
   1300 NW Wall Street, Suite 200
   Bend, Oregon 97701
   Fax No. 541-385-3202

27. Merger Clause. This Contract and the attached exhibits constitute the entire agreement between the parties.
   a. All understandings and agreements between the parties and representations by either party concerning this Contract are contained in this Contract.
b. No waiver, consent, modification or change in the terms of this Contract shall bind either party unless in writing signed by both parties.

c. Any written waiver, consent, modification or change shall be effective only in the specific instance and for the specific purpose given.

28. **Identity Theft Protection.** Contractor and subcontractors shall comply with the Oregon Consumer Identity Theft Protection Act (ORS 646A.600 et seq.).

29. **Survival.** All rights and obligations shall cease upon termination or expiration of this Contract, except for the rights and obligations set forth in Sections 4, 5, 8, 9, 15, 17, 18, 20-27, 28 and 30.

30. **Representations and Warranties.**

   a. **Contractor’s Representations and Warranties.** Contractor represents and warrants to County that:
       1) Contractor has the power and authority to enter into and perform this Contract;
       2) This Contract, when executed and delivered, shall be a valid and binding obligation of Contractor enforceable in accordance with its terms;
       3) Contractor has the skill and knowledge possessed by well-informed members of its industry, trade or profession and Contractor will apply that skill and knowledge with care and diligence to perform the Work in a professional manner and in accordance with standards prevalent in Contractor’s industry, trade or profession in the state of Oregon;
       4) Contractor shall, at all times during the term of this Contract, be qualified, professionally competent, and duly licensed to perform the Work;
       5) Contractor prepared its proposal related to this Contract, if any, independently from all other proposers, and without collusion, fraud, or other dishonesty; and
       6) Contractor’s making and performance of this Contract do not and will not violate any provision of any applicable law, rule or regulation or order of any court, regulatory commission, board or other administrative agency.
       7) Contractor’s making and performance of this Contract do not and will not violate any provision of any other contract, agreement to which Contractor is a party, nor materially impair any legal obligation of Contractor to any person or entity.

   b. **Warranties Cumulative.** The warranties set forth in this paragraph are in addition to, and not in lieu of, any other warranties provided, whether express or implied at law.

31. **Amendment.**

   a. This Contract may be unilaterally modified by County to accommodate a change in available funds, so long as such modification does not impose an unreasonable hardship upon Contractor or reduce Contractor’s compensation for work Contractor actually performs or Contractor’s authorized expenses actually incurred. With respect to deliverable-based Work, Contractor’s compensation shall not be deemed reduced by a modification of this contract, so long as Contractor is paid the sum designated for performing the Work originally contemplated by this Contract multiplied by the percentage of such originally contemplated Work that Contractor performs under the modified Contract.

   b. With the exception of subparagraph 31(a), above, this Contract (including any exhibits) may only be amended upon written agreement by both parties, and shall not be effective until both parties have executed such written agreement. Any alleged or claimed amendment that is not performed in compliance with this paragraph 31 shall be void and of no effect.

32. **Representation and Covenant.**

   a. Contractor represents and warrants that Contractor has complied with the tax laws of this state, and where applicable, the laws of Deschutes County, including but not limited to ORS 305.620 and ORS chapters 316, 317 and 318.

   b. Contractor covenants to continue to comply with the tax laws of this state, and where applicable, the laws of Deschutes County, during the term of this contract.

   c. Contractor acknowledges that failure by Contractor to comply with the tax laws of this state, and where applicable, the laws of Deschutes County, at any time before Contractor has executed the contract or during the term of the contract is and will be deemed a default for which Deschutes County may terminate the contract and seek damages and/or other relief available under the terms of the contract or under applicable law.
EXHIBIT 1
DESCHUTES COUNTY SERVICES CONTRACT
Contract No. 2018-___
STATEMENT OF WORK, COMPENSATION
PAYMENT TERMS and SCHEDULE

1. Contractor shall perform the following work:
   a. 
   b. 

2. County Services. County shall provide Contractor, at county's expense, with material and services described as follows:
   a. 
   b. 

3. Consideration.
   a. County shall pay Contractor on a fee-for-service basis at the rate of ______________.
   b. Contractor shall be entitled to reimbursement for expenses as set forth in Exhibit 5
      ☐ YES ☒ NO [Check one]

4. The maximum compensation.
   a. The maximum compensation under this contract, including allowable expenses, is $____________.
   b. Contractor shall not submit invoices for, and County shall not pay for any amount in excess of the maximum compensation amount set forth above.
      1) If this maximum compensation amount is increased by amendment of this contract, the amendment shall be fully effective before contractor performs work subject to the amendment.
      2) Contractor shall notify County in writing of the impending expiration of this Contract thirty (30) calendar days prior to the expiration date.

5. Schedule of Performance or Delivery.
   a. County's obligation to pay depends upon Contractor's delivery or performance in accordance with the following schedule:
   b. County will only pay for completed work that conforms to this schedule.
EXHIBIT 2
DESCHUTES COUNTY SERVICES CONTRACT
Contract No. 2018-___
INSURANCE REQUIREMENTS

Contractor shall at all times maintain in force at Contractor’s expense, each insurance noted below. Insurance coverage must apply on a primary or non-contributory basis. All insurance policies, except Professional Liability, shall be written on an occurrence basis and be in effect for the term of this contract. Policies written on a “claims made” basis must be approved and authorized by Deschutes County.

Contractor Name ______________________________

**Workers Compensation** insurance in compliance with ORS 656.017, requiring Contractor and all subcontractors to provide workers’ compensation coverage for all subject workers, or provide certification of exempt status. Worker’s Compensation Insurance to cover claims made under Worker’s Compensation, disability benefit or any other employee benefit laws, including statutory limits in any state of operation with Coverage B Employer’s Liability coverage all at the statutory limits. In the absence of statutory limits the limits of said Employer’s Liability coverage shall be not less than $1,000,000 each accident, disease and each employee. This insurance must be endorsed with a waiver of subrogation endorsement, waiving the insured’s right of subrogation against County.

| **Professional Liability** insurance with an occurrence combined single limit of not less than: |
|-------------------------------|---------------------------------|
| Per Occurrence limit          | Annual Aggregate limit          |
| ☑ $1,000,000                 | ☑ $2,000,000                   |
| ☐ $2,000,000                 | ☐ $3,000,000                   |
| ☐ $3,000,000                 | ☐ $5,000,000                   |

Professional Liability insurance covers damages caused by error, omission, or negligent acts related to professional services provided under this Contract. The policy must provide extended reporting period coverage, sometimes referred to as “tail coverage” for claims made within two years after the contract work is completed or the facts underlying County’s claim could reasonably have been discovered, whichever is later.

☑ Required by County  ☐ Not required by County (One box must be checked)

**Commercial General Liability** insurance includes coverage for personal injury, bodily injury, advertising injury, property damage, premises, operations, products, completed operations and contractual liability. The insurance coverages provided for herein must be endorsed as primary and non-contributory to any insurance or self insurance of County, its officers, employees or agents. Each such policy obtained by Contractor shall provide that the insurer shall defend any suit against the named insured and the additional insureds, their officers, agents, or employees, even if such suit is frivolous or fraudulent. Such insurance shall provide County with the right, but not the obligation, to engage its own attorney for the purpose of defending any legal action against County, its officers, agents, or employees, and that Contractor shall indemnify County for costs and expenses, including reasonable attorneys’ fees, incurred or arising out of the defense of such action.

The policy shall be endorsed to name Deschutes County, its officers, agents, employees and volunteers as an additional insured. The additional insured endorsement shall not include declarations that reduce any per occurrence or aggregate insurance limit. The Contractor shall provide additional coverage based on any outstanding claim(s) made against policy limits to ensure that minimum insurance limits required by the County are maintained. Construction contracts may include aggregate limits that apply on a “per location” or “per project” basis. The additional insurance protection shall extend equal protection to County as to Contractor or subcontractors and shall not be limited to vicarious liability only or any similar limitation. To the extent any aspect of this Paragraph shall be deemed unenforceable, then the additional insurance protection to County shall be narrowed to the maximum amount of protection allowed by law.

☑ Required by County  ☐ Not required by County (One box must be checked)
**Automobile Liability** insurance with a combined single limit of not less than:

| Per Occurrence | $500,000 | $1,000,000 | $2,000,000 |

Automobile Liability insurance includes coverage for bodily injury and property damage resulting from operation of a motor vehicle. Commercial Automobile Liability Insurance shall provide coverage for any motor vehicle (symbol 1 on some insurance certificates) driven by or on behalf of Contractor during the course of providing services under this contract. Commercial Automobile Liability is required for contractors that own business vehicles registered to the business. Examples include: plumbers, electricians or construction contractors. An Example of an acceptable personal automobile policy is a contractor who is a sole proprietor that does not own vehicles registered to the business.

- ☑ Required by County
- ☐ Not required by County

(One box must be checked)

**Additional Requirements.** Contractor shall pay all deductibles and self-insured retentions. A cross-liability clause or separation of insured's condition must be included in all commercial general liability policies required by this Contract. Contractor's coverage will be primary in the event of loss.

**Certificate of Insurance Required.** Contractor shall furnish a current Certificate of Insurance to the County with the signed Contract. Contractor shall notify the County in writing at least 30 days in advance of any cancellation, termination, material change, or reduction of limits of the insurance coverage. The Certificate shall also state the deductible or, if applicable, the self-insured retention level. Contractor shall be responsible for any deductible or self-insured retention. If requested, complete copies of insurance policies shall be provided to the County. Any violation by Contractor of this Certificate of Insurance provision shall, at the election of County, constitute a material breach of the Contract.

Risk Management review  
Date  
4/11/18
EXHIBIT 3

DESHUTES COUNTY SERVICES CONTRACT
Contract No. 2018-___
CERTIFICATION STATEMENT FOR CORPORATION
OR INDEPENDENT CONTRACTOR

NOTE: Contractor Shall Complete A or B in addition to C below:

A. CONTRACTOR IS A CORPORATION, LIMITED LIABILITY COMPANY OR A PARTNERSHIP.

<table>
<thead>
<tr>
<th>I certify under penalty of perjury that Contractor is a [check one]:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corporation □ Limited Liability Company □ Partnership</td>
</tr>
<tr>
<td>authorized to do business in the State of Oregon.</td>
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<table>
<thead>
<tr>
<th>Signature</th>
<th>Title</th>
<th>Date</th>
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</table>

B. CONTRACTOR IS A SOLE PROPRIETOR WORKING AS AN INDEPENDENT CONTRACTOR.

Contractor certifies under penalty of perjury that the following statements are true:

1. If Contractor performed labor or services as an independent Contractor last year, Contractor filed federal and state income tax returns last year in the name of the business (or filed a Schedule C in the name of the business as part of a personal income tax return), **and**

2. Contractor represents to the public that the labor or services Contractor provides are provided by an independently established business registered with the State of Oregon, **and**

3. All of the statements checked below are true.

   **NOTE: Check all that apply. You shall check at least three (3) - to establish that you are an Independent Contractor.**

   _____ A. The labor or services I perform are primarily carried out at a location that is separate from my residence or primarily carried out in a specific portion of my residence that is set aside as the location of the business.

   _____ B. I bear the risk of loss related to the business or provision of services as shown by factors such as: (a) fixed-price agreements; (b) correcting defective work; (c) warranties over the services or (d) indemnification agreements, liability insurance, performance bonds or professional liability insurance.

   _____ C. I have made significant investment in the business through means such as: (a) purchasing necessary tools or equipment; (b) paying for the premises or facilities where services are provided; or (c) paying for licenses, certificates or specialized training.

   _____ D. I have the authority to hire other persons to provide or to assist in providing the services and if necessary to fire such persons.

   _____ E. Each year I perform labor or services for at least two different persons or entities or I routinely engage in business advertising, solicitation or other marketing efforts reasonably calculated to obtain new contracts to provide similar services.

<table>
<thead>
<tr>
<th>Contractor Signature</th>
<th>Date</th>
</tr>
</thead>
</table>
C. Representation and Warranties.

Contractor certifies under penalty of perjury that the following statements are true to the best of Contractor's knowledge:

1. Contractor has the power and authority to enter into and perform this contract;

2. This contract, when executed and delivered, shall be a valid and binding obligation of Contractor enforceable in accordance with its terms;

3. The services under this contract shall be performed in a good and workmanlike manner and in accordance with the highest professional standards; and

4. Contractor shall, at all times during the term of this contract, be qualified, professionally competent, and duly licensed to perform the services.

5. To the best of Contractor's knowledge, Contractor is not in violation of any tax laws described in ORS 305.380(4),

6. Contractor understands that Contractor is responsible for any federal or state taxes applicable to any consideration and payments paid to Contractor under this contract; and

7. Contractor has not discriminated against minority, women or small business enterprises in obtaining any required subcontracts.

____________________________________  _____________________
Contractor Signature                                                 Date
EXHIBIT 4
DESHUTES COUNTY SERVICES CONTRACT
Contract No. 2018-____
Workers’ Compensation Exemption Certificate

(To be used only when Contractor claims to be exempt from Workers’ Compensation coverage requirements)

Contractor is exempt from the requirement to obtain workers’ compensation insurance under ORS Chapter 656 for the following reason (check the appropriate box):

☐ SOLE PROPRIETOR
  • Contractor is a sole proprietor, and
  • Contractor has no employees, and
  • Contractor shall not hire employees to perform this contract.

☐ CORPORATION - FOR PROFIT
  • Contractor’s business is incorporated, and
  • All employees of the corporation are officers and directors and have a substantial ownership interest* in the corporation, and
  • The officers and directors shall perform all work. Contractor shall not hire other employees to perform this contract.

☐ CORPORATION - NONPROFIT
  • Contractor’s business is incorporated as a nonprofit corporation, and
  • Contractor has no employees; all work is performed by volunteers, and
  • Contractor shall not hire employees to perform this contract.

☐ PARTNERSHIP
  • Contractor is a partnership, and
  • Contractor has no employees, and
  • All work shall be performed by the partners; Contractor shall not hire employees to perform this contract, and
  • Contractor is not engaged in work performed in direct connection with the construction, alteration, repair, improvement, moving or demolition of an improvement to real property or appurtenances thereto.

☐ LIMITED LIABILITY COMPANY
  • Contractor is a limited liability company, and
  • Contractor has no employees, and
  • All work shall be performed by the members; Contractor shall not hire employees to perform this contract, and
  • If Contractor has more than one member, Contractor is not engaged in work performed in direct connection with the construction, alteration, repair, improvement, moving or demolition of an improvement to real property or appurtenances thereto.

*NOTE: Under OAR 436-050-050 a shareholder has a “substantial ownership” interest if the shareholder owns 10% of the corporation or, if less than 10% is owned, the shareholder has ownership that is at least equal to or greater than the average percentage of ownership of all shareholders.

**NOTE: Under certain circumstances partnerships and limited liability companies can claim an exemption even when performing construction work. The requirements for this exemption are complicated. Consult with County Counsel before an exemption request is accepted from a contractor who shall perform construction work.

________________________________________            __________________________________________
Contractor Printed Name                                    Contractor Signature

________________________________________
Contractor Title

____________________________
Date

Page 14 of 17 - Personal Services Contract No. 2018-____
**EXHIBIT 5**
**DESCHUTES COUNTY SERVICES CONTRACT**
**Contract No. 2018-_____**
**Expense Reimbursement**

1. **Travel and Other Expenses.** (When travel and other expenses are reimbursed.)
   a. It is the policy of the County that travel expenses shall be allowed only when the travel is
      essential to the normal discharge of County responsibilities.
      1) All travel shall be conducted in the most efficient and cost effective manner resulting in the
         best value to the County.
      2) Travel expenses shall be reimbursed for official County business only.
      3) County shall not reimburse Contractor for any item that is not otherwise available for
         reimbursement to an employee of Deschutes County per Deschutes County Finance Policy
         F-1, “REIMBURSEMENT FOR MISCELLANEOUS EXPENSES AND EXPENSES
         INCURRED WHILE TRAVELING ON COUNTY BUSINESS,” dated 11/8/06.
      4) County may approve a form other than the County Employee Reimbursement Form for
         Contractor to submit an itemized description of travel expenses for payment.
      5) Personal expenses shall not be authorized at any time.
      6) All expenses are included in the total maximum contract amount.
   b. Travel expenses shall be reimbursed only in accordance with rates approved by the County and
      only when the reimbursement of expenses is specifically provided for in Exhibit 1, paragraph
      3 of this contract.
   c. The current approved rates for reimbursement of travel expenses are set forth in the above
      described policy.
   d. County shall not reimburse for any expenses related to alcohol consumption or entertainment.
   e. Except where noted, detailed receipts for all expenses shall be provided.
   f. Charge slips for gross amounts are not acceptable.
   g. County shall not reimburse Contractor for any item that is not otherwise available for
      reimbursement to an employee of Deschutes County.

2. **Approved reimbursements:**
   a. **Mileage.** Contractor shall be entitled to mileage for travel in a private automobile while Contractor
      is acting within the course and scope of Contractor’s duties under this contract and driving over
      the most direct and usually traveled route to and from Bend, Oregon.
      1) Reimbursement for mileage shall be equal to but not exceed those set by the United States
         General Services Administration (“GSA”) and are subject to change accordingly.
      2) To qualify for mileage reimbursement, Contractor shall hold a valid, current driver’s license
         for the class of vehicle to be driven and carry personal automobile liability insurance in
         amounts not less than those required by this contract.
      3) No mileage reimbursement shall be paid for the use of motorcycles or mopeds.
   b. **Meals.**
      1) Any reimbursement for meals shall be for actual cost of meals incurred by Contractor while
         acting within the course and scope of Contractor’s duties under this contract.
      2) For purposes of calculating individual meals where the Contractor is entitled only to a partial
         day reimbursement, the following maximum allocation of the meal expenses applies:
         a) Breakfast, $10;
         b) Lunch, $12;
         c) Dinner, $22.
      3) Except in the event of necessary overnight travel as provided below, partial day meal
         expenses shall be reimbursed as follows and only while Contractor is acting within the course
         and scope of Contractor’s duties under this contract:
         a) Breakfast expenses are reimbursable if Contractor is required to travel more than two (2)
            hours before the start of Contractor’s regular workday (i.e. 8:00 a.m.).
         b) Lunch expenses are reimbursable only if Contractor is required to travel overnight and
            begins the journey before 11:00 am or ends the journey after 11:00 a.m.
         c) Dinner expenses are reimbursable only if Contractor is required to travel more than two
            (2) hours after Contractor’s regular workday (i.e. 5:00 p.m.).
4) Breakfast and dinner expenses are reimbursable during Contractor’s necessary overnight travel while acting within the course and scope of Contractor’s duties under this contract, shall not exceed those set by the GSA, and are subject to change accordingly.

c. **Lodging.**
   1) County shall reimburse Contractor for Contractor’s actual cost of lodging necessary to provide service to the County and shall not exceed the maximum lodge rate set by the GSA for Bend, Oregon.
   2) Reimbursement rates for lodging are not considered “per diem” and receipts are required for reimbursement.

d. County shall not reimburse Contractor in excess of the lowest fair for any airline ticket or vehicle rental charges.

3. **Exceptions.** Contractor shall obtain separate written approval of the County Administrator for any exceptions to the expense items listed above prior to incurring any expense for which reimbursement shall be sought.
Contractor certifies under penalty of perjury that the following statements are true to the best of Contractor's knowledge:

1. If Contractor is currently performing work for the County, State of Oregon or federal government, Contractor, by signature to this Contract, declares and certifies that Contractor’s Work to be performed under this Contract creates no potential or actual conflict of interest as defined by ORS 244 and no rules or regulations of Contractor's employee agency (County State or Federal) would prohibit Contractor's Work under this Contract. Contractor is not an “officer,” “employee,” or “agent” of the County, as those terms are used in ORS 30.265.

2. No federally appropriated funds have been paid or shall be paid, by or on behalf of Contractor, to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.
   a. If any funds other than federally appropriated funds have been paid or shall be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, Contractor agrees to complete and submit Standard Form-LLL “Disclosure Form to Report Lobbying,” in accordance with its instructions.
      1) Standard Form-LLL and instructions are located in 45 CFR Part 93 Appendix B.
      2) If instructions require filing the form with the applicable federal entity, Contractor shall then as a material condition of this Contract also file a copy of the Standard Form-LLL with the Department.
      3) This filing shall occur at the same time as the filing in accordance with the instructions.
   b. Contractor understands this certification is a material representation of fact upon which the County and the Department has relied in entering into this Contract. Contractor further understands that submission of this certification is a prerequisite, imposed by 31 USC 1352 for entering into this Contract.
   c. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.
   d. Contractor shall include the language of this certification in the award documents for all sub-awards at all tiers (including subcontracts, sub-grants, and contracts under grants, loans and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.
   e. Contractor is solely responsible for all liability arising from a failure by Contractor to comply with the terms of this certification.
   f. Contractor promises to indemnify County for any damages suffered by County as a result of Contractor's failure to comply with the terms of this certification.

3. Contractor understands that, if this Contract involves federally appropriated funds, this certification is a material representation of facts upon which reliance was placed when this Contract was made or entered into, submission of this certification is a prerequisite for make or entering into this Contract imposed by Section 1352, Title 31, U.S. Code and that any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each failure.

_______________________________________  ________________
Contractor Signature                  Date